



1624

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/538,126

Filing Date June 6, 2005

First Named Inventor Shunsuke Kuroiwa

Art Unit 1624

Examiner Name Not yet assigned

Attorney Docket Number 442P097

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Form PTO-1449 -Copy of non US reference cited - 1
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Nields & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	March 6, 2007	Reg. No.	32,579

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Kevin S. Lemack	Date	March 6, 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.

Serial: 10/538,126

Filed: June 6, 2005

For: 3-PHENYL-CINNOLINE ANALOGUE AND ANTITUMOR AGENT USING
THE SAME

Examiner : Not yet assigned

Art Unit : 1624

Confirmation No: 2218

Attorney

Docket No. : 442P097

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

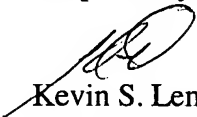
A copy of the non U.S. Patent(s) listed on the attached form is enclosed herewith.

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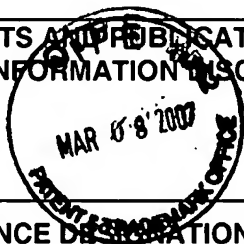

Signature: **Kevin S. Lemack**

Date: **March 6, 2007**

Respectfully submitted,


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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.
	442P097	10/538,126
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Shunsuke Kuroiwa et al.	
	FILING DATE	GROUP
	June 6, 2005	1624



REFERENCE DISCLOSURE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CA	Journal of Medicinal Chemistry, 1976, Vol. 19, No. 4, pp. 508-511; "Central Nervous System Active 5-Oxo-1,4,5,6,7,8-hexahydrocinnolines"; Kuppuswamy Nagarajan et al.
CB	
CC	
EXAMINER	DATE CONSIDERED
EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <i>and</i> not considered. Include copy of this form with next communication to applicant.	